



Transcend L5-VQs In Maintaining Safety Standards of Playgrounds and/or Activity Facilities

Qualification Specification [3 L5-VQs]

Version 03: 01 November 2023





## Welcome

Welcome to the **Transcend Specification for three Level 5 VQs in Maintaining Safety Standards of Playgrounds and Activity Facilities**. Transcend is an awarding organisation regulated by Ofqual. This specification introduces the purpose and delivery specification for three L5 qualifications in this context which must be complied with to ensure effective and efficient delivery. This document must be read in conjunction with **Transcend Centre Recognition Conditions**, the **Qualification Unit Specifications** and **Assessment Materials**.

Part 1: Qualification Suite Purpose		Page	
Qualification suite scope			
Qualification suite summary		07	
Qualification suite structures		10	
Part 2: Qualification Suite Delivery Spe	cification		
Qualification suite resources	Supplementary to Transcend Centre Recognition Condition 5.1	12	
Qualification suite coordination	Supplementary to Transcend Centre Recognition Condition 5.2	13	
Qualification suite delivery	Supplementary to Transcend Centre Recognition Condition 5.3	15	
Qualification suite assessment	Supplementary to Transcend Centre Recognition Condition 5.4	19	
Qualification suite quality assurance	Supplementary to Transcend Centre Recognition Condition 5.5	22	
Appendix			
Appendix 01	Qualification suite specification version control	28	



# Part 01: Qualification Purposes

## Qualification Specification Scope

**Transcend Playground Safety** is a suite of Ofqual regulated vocational qualifications that sit in sector subject area 8.1 Sport, Leisure, and Recreation. This suite of qualifications is uniquely designed to facilitate a professional development pathway for those who wish to fulfil the occupational role of an inspector in this context. It also offers those operating in playground and activity facility design, installation and maintenance roles or wider health and safety remits to enhance their scope of professional competence.

This specification contains the purpose and delivery conditions for the three level 5 qualifications which are integral to the suite and relevant to a number of playground activity facility safety professionals.

Qualification Titles	Qualification Audience
Transcend Level 5 <b>Diploma</b> in Maintaining Safety Standards in the Design, Installation, Inspection and Maintenance of <b>Playgrounds and Activity Facilities.</b>	Relevant to individuals who wish to maintain safety standards in playgrounds AND activity Facilities.
Transcend Level 5 <b>Certificate</b> in Maintaining Safety Standards in the Design, Installation, Inspection and Maintenance of <b>Playgrounds</b> .	Relevant to individuals who wish to maintain safety standards in playgrounds.
Transcend Level 5 <b>Certificate</b> in Maintaining Safety Standard s in the Design, Installation, Inspection and Maintenance of <b>Activity Facilities</b> .	Relevant to individuals who wish to maintain safety standards in activity Facilities. Particularly relevant to those who achieved a qualification to maintain safety standards in playgrounds prior to the publication of the activity facilities safety standards.



Qualification regulation <sup>1</sup>								. They are equivalent to				
	Qualification titles	Ofqual ref	Start	Review	GLH	DSH	TQT	Credit				
	Transcend Level 5 Diploma in Maintaining Safety Standards in the Design, Installation, Inspection and Maintenance of Playgrounds and Activity Facilities	603/5313/2	01 Jan 2020	31 Aug 2026	100	270	370	37				
	Transcend Level 5 Certificate in Maintaining Safety Standards in the Design, Installation, Inspection and Maintenance of Playgrounds	610/3402/2	27 Oct 2023	30 Oct 2026	70	180	250	25				
	Transcend Level 5 Certificate in Maintaining Safety Standards in the Design, Installation, Inspection and Maintenance of Activity Facilities	610/3403/4	27 Oct 2023	30 Oct 2026	70	180	250	25				
Qualification awarding	These qualifications are solely awarded by <u>Transcend Awards</u> as the recognised awarding organisation regulated by Ofqual.											
Qualification standards	These qualifications are aligned to Health and Safety Legislation and <u>The British and European Standard for Playground Equipment and Surfacing is BS EN 1176.</u>											
Qualification partner	These qualifications are developed in collaboration with industry specialist <b>RYNAT</b> as the technical partner. It is validated in consultation with equality experts, industry inspectors, employers and education providers. The collaboration and consultation groups remain in place for the lifetime of the qualification to ensure it remains fit for purpose.											

<sup>1</sup> TQT, GLH and DSH are defined in the Transcend Recognition Conditions.



Qualification providers	These qualifications are delivered by health and safety education providers that have been approved in writing by RYNAT as the technical advisor. These providers must also gain recognised centre status and be approved by the awarding organisation to deliver the qualification. Applicants and recognised centres are all required to evidence compliance with the centre recognition and qualification delivery conditions to gain and retain status.						
	Recognised centres						
	Access the Transcend Awarding Website to submit a centre recognition enquiry to initiate the process.	Access the Transcend Awarding Management System [TAMS] to create and submit the Transcend Recognised Centre Extension Request Form.					
Qualification participants and progression	These qualifications are designed for learners aged 18+ in occupational roles associated with the design, installation, inspection and maintenance of playgrounds and activity facilities. This qualification may lead to paid employment or used as professional development opportunity for those with an interest in health and safety to enhance their scope practice.						
	Employability pathway						
	Transcend Level 5 Diploma in Maintaining Safety Standards in the Design, Installation, Inspection and Maintenance of Playgrounds and Activity Facilities	Can lead to paid employment in safety roles associated with <b>playground and activity facilities</b> such as learners aged 18+ who are working for manufacturers or playground owners. This includes designers, installation specialists, routing visual, operational and annual inspectors.					
	Transcend Level 5 Certificate in Maintaining Safety Standards in the Design, Installation, Inspection and Maintenance of Playgrounds  Can lead to voluntary employment in safety roles associated with properties of such as learners aged 18+ who are working for manufacturers or owners. This includes designers, installation specialists, round operational and annual inspectors.						
	Transcend Level 5 Certificate in Maintaining Safety Standards in the Design, Installation, Inspection and Maintenance of Activity Facilities	Can lead to voluntary employment in safety roles associated with <b>activity facilities</b> such as learners aged 18+ who are working for manufacturers or playground owners. This includes designers, installation specialists, routing visual, operational and annual inspectors.					



	Education routes
Qualification participants and progression	This qualification is integral to a progressive suite of regulated qualifications which is comprised of:
	Transcend Level 1 Award in the Legal Duty to Inspect Playgrounds and Activity Facilities
	Transcend Level 2 Award in the Routine Visual Inspection of Playgrounds and Activity Facilities
	Transcend Level 3 Award in the Operational Inspection of Playgrounds and Activity Facilities
	Transcend Level 5 Certificate Maintaining Safety Standards in the DIIM <sup>2</sup> of Playgrounds
	Transcend Level 5 Certificate Maintaining Safety Standards in the DIIM of Activity Facilities
	Transcend Level 5 Diploma in Maintaining Safety Standards in the DIIM of Playgrounds and Activity Facilities
Qualification suite endorsements	These qualifications are supported by <b>RYNAT</b> and <b>Ten-Group</b> as industry leading employers and education providers in this domain.

<sup>2</sup> Design, Installation, Inspection and Maintenance,



Qualificatio	ns Suite Summary
Qualification's purposes	The purpose of these qualifications is to prepare for employment.  The sub purpose of these qualifications is to prepare for employment in a specific occupational area.
Qualification's rationales	The rationale for the development, delivery and awarding of these qualifications is to influence the professionalisation of the play areas and facility inspection sector.
0 1:0	Transcend Level 5 Diploma in Maintaining Safety Standards in the DIIM of Playgrounds and Activity Facilities
Qualification's aims and	Aim
objectives	The qualification aim is to enable learners to develop the knowledge and skills required to maintain safety standards in the design, installation, inspection, and maintenance of <b>playgrounds and activity facilities</b> .
	Objective
	The qualification objective is to provide a benefit to learners by developing competence in an occupational role associated with the design, installation, inspection, and maintenance of playgrounds and activity facilities. Learners will develop the knowledge and skills required to maintain playground and activity facility safety standards in the context of their own role which include:
	<ul> <li>Safety laws, standards and social value of accessible playgrounds and activity facilities</li> <li>Safety standards for the design, installation, inspection and maintenance of playgrounds and activity facilities</li> <li>Safety standards and compliance monitoring of playground equipment categorised as swings</li> <li>Safety standards and compliance monitoring of playground equipment categorised as rockers</li> <li>Safety standards and compliance monitoring of playground equipment categorised as runways</li> <li>Safety standards and compliance monitoring of playground equipment categorised as carousels</li> <li>Safety standards and compliance monitoring of playground equipment categorised as spatial networks</li> <li>Safety standards and compliance monitoring of activity facilities for skate</li> <li>Safety standards and compliance monitoring of activity facilities parkour</li> <li>Safety standards and compliance monitoring of activity facilities for outdoor adult fitness</li> <li>Safety standards and compliance monitoring of activity facilities for free access multi sports</li> </ul>



# Qualification suite aims and objectives

Transcend Level 5 Certificate in Maintaining Safety Standards in the Design, Installation, Inspection and Maintenance of Playgrounds

#### Aim

The qualification aim is to enable learners to develop the knowledge and skills required to maintain safety standards in the design, installation, inspection and maintenance of **playgrounds**.

#### Objective

The qualification objective is to provide a benefit to learners by developing competence in an occupational role associated with the design, installation, inspection, and maintenance of playgrounds and activity facilities. Learners will develop the knowledge and skills required to maintain **playground safety standards** in the context of their own role which include:

- Safety laws, standards and social value of accessible playgrounds and activity facilities
- Safety standards for the design, installation, inspection and maintenance of playgrounds and activity facilities
- Safety standards and compliance monitoring of playground equipment categorised as swings
- Safety standards and compliance monitoring of playground equipment categorised as slides
- Safety standards and compliance monitoring of playground equipment categorised as rockers
- Safety standards and compliance monitoring of playground equipment categorised as runways
- Safety standards and compliance monitoring of playground equipment categorised as carousels
- Safety standards and compliance monitoring of playground equipment categorised as spatial networks



# Qualification suite aims and objectives

Transcend Level 5 Certificate in Maintaining Safety Standards in the Design, Installation, Inspection and Maintenance of Activity Facilities

#### Aim

The qualification aim is to enable learners to develop the knowledge and skills required to maintain safety standards in the design, installation, inspection, and maintenance of **activity facilities**.

#### Objective

The qualification objective is to provide a benefit to learners by developing competence in an occupational role associated with the design, installation, inspection, and maintenance of playgrounds and activity facilities. Learners will develop the knowledge and skills required to maintain playground and activity facility safety standards in the context of their own role which include:

- Safety laws, standards and social value of accessible playgrounds and activity facilities
- Safety standards for the design, installation, inspection and maintenance of playgrounds and activity facilities
- Safety standards and compliance monitoring of activity facilities for skate
- Safety standards and compliance monitoring of activity facilities parkour
- Safety standards and compliance monitoring of activity facilities for outdoor adult fitness
- Safety standards and compliance monitoring of activity facilities for free access multi sports

# Qualification's uniqueness

These are the only regulated qualifications at this level which are uniquely designed to facilitate the safety of playground and activities facilities. The <u>Register of Regulated Qualifications</u> should be accessed to source information on other awarding organisation providers who offer similar provision.



# Qualification Structures

Transcend Level 5 Diploma in Maintaining Safety Standards in the Design, Installation, Inspection and Maintenance of Playgrounds and Activity Facilities

This qualification is comprised of 12 mandatory units. To achieve this qualification learners must successfully achieve all 12 mandatory units.

Unit 1	litle		Level	GLH	DSH	TQT	Credit
U01	Safety laws, standards and social value of accessible playgrounds and activity facilities		5	20	40	60	6
U02	Safety standards for the design, installation, inspection and maintenance of playgrounds and activity facilities		5	20	50	70	7
U03	Safety standards and compliance monitoring of playground equipment categorised as swings		5	5	15	20	2
U04	Safety standards and compliance monitoring of playground equipment categorised as slides		5	5	15	20	2
U05	Safety standards and compliance monitoring of playground equipment categorised as rockers		5	5	15	20	2
U06	Safety standards and compliance monitoring of playground equipment categorised as runways		5	5	15	20	2
U07	Safety standards and compliance monitoring of playground equipment categorised as carousels		5	5	15	20	2
U08	Safety standards and compliance monitoring of playground equipment categorised as spatial networks		5	5	15	20	2
U09	Safety standards and compliance monitoring of activity facilities for skate		5	20	40	60	6
U10	Safety standards and compliance monitoring of activity facilities parkour		5	20	50	70	7
U11	Safety standards and compliance monitoring of activity facilities for outdoor adult fitness		5	7	23	30	3
U12	Safety standards and compliance monitoring of activity facilities for free access multi sports		5	7	23	30	3
Sumn	Gummary			100	270	370	37



### Transcend Level 5 Certificate in Maintaining Safety Standards in the Design, Installation, Inspection and Maintenance of Playgrounds

This qualification is comprised of 8 mandatory units. To achieve this qualification learners must successfully achieve all 8 mandatory units.

Unit 1	nit Title				DSH	TQT	Credit
U01	Safety laws, standards and social value of accessible playgrounds and activity facilities		5	20	40	60	6
U02	Safety standards for the design, installation, inspection and maintenance of playgrounds and activity facilities		5	20	50	70	7
U03	Safety standards and compliance monitoring of playground equipment categorised as swings		5	5	15	20	2
U04	Safety standards and compliance monitoring of playground equipment categorised as slides		5	5	15	20	2
U05	Safety standards and compliance monitoring of playground equipment categorised as rockers		5	5	15	20	2
U06	Safety standards and compliance monitoring of playground equipment categorised as runways		5	5	15	20	2
U07	Safety standards and compliance monitoring of playground equipment categorised as carousels		5	5	15	20	2
U08	Safety standards and compliance monitoring of playground equipment categorised as spatial networks		5	5	15	20	2
Sumn	Summary				180	250	25

#### Transcend Level 5 Certificate in Maintaining Safety Standards in the Design, Installation, Inspection and Maintenance of Activity Facilities

This qualification is comprised of 6 mandatory units. To achieve this qualification learners must successfully achieve all 6 mandatory units.

Unit 1	Init Title		Level	GLH	DSH	TQT	Credit
U01	Safety laws, standards and social value of accessible playgrounds and activity facilities		5	20	40	60	6
U02	U02 Safety standards for the design, installation, inspection and maintenance of playgrounds and activity facilities		5	20	50	70	7
U03	Safety standards and compliance monitoring of activity facilities for skate		5	7	23	30	3
U04	Safety standards and compliance monitoring of activity facilities parkour		5	7	23	30	3
U05	Safety standards and compliance monitoring of activity facilities for outdoor adult fitness		5	8	22	30	3
U06	U06 Safety standards and compliance monitoring of activity facilities for free access multi sports		5	8	22	30	3
Sumn	Summary		5	70	180	250	25



## Part 02: Qualification Approval Conditions

## Qualification Delivery Specifications

These qualifications must be delivered in accordance with the *Transcend Recognised Centre Conditions*. The rules outlined below are supplementary conditions specific to the three qualifications as defined within the scope for this specification. Organisations must ensure full compliance with ALL conditions to establish and maintain centre recognition and qualification approval status.

## **Qualification Resource Conditions**

The recognised centre is required to supplement RC5.1 of the **Transcend Recognised Conditions** with the following rules.

Qualification	
workforce	

The recognised centre must ensure that they evidence workforce competence through tutor, assessor and IQA qualifications as outlined in the recognition conditions.

Occupational and technical competence must be evidenced through a minimum of 2 years' experience as an inspector in this remit and the achievement of a recognised qualification at level 5 in health and safety.

The recognised centre is required to ensure the tutor/assessor to learner ratio per cohort is a maximum of 1:24 with no minimum ratio.

In exceptional circumstances, the awarding organisation will allow adaptations to the maximum ratio to prevent disadvantage to learners and only where the recognised centre can evidence that learning and assessment will not be compromised.

# Qualification venues

The recognised centre must have venues, facilities, and equipment to cater for the breadth of the training and assessment programme.



## Qualification Coordination Conditions

The recognised centre is required to supplement RC5.2 of the **Transcend Recognised Centre Conditions** with the following rules.

	·	
Learner pre- requisites	L5 Diploma [Activity Facilities]	The recognised centre is required to ensure that the following entry requirements are complied with. Prior to registration for this qualification learners are required to
	L5 Certificate [Playgrounds]	<ul> <li>be accurately identified</li> <li>be at least 18 years of age</li> <li>be able to communicate effectively in English [reading, speaking, listening, and writing]</li> <li>have achieved recognised training or achieved a level 3 regulated qualification in the operational inspection of play areas of facilities or a wider level 3 or above health and safety qualification.</li> </ul>
	L5 Certificate [Activity Facilities]	<ul> <li>The recognised centre is required to ensure that the following entry requirements are complied with. Prior to registration for this qualification learners are required to</li> <li>be accurately identified</li> <li>be at least 18 years of age</li> <li>be able to communicate effectively in English [reading, speaking, listening, and writing]</li> <li>have achieved recognised training or achieved a level 3 regulated qualification in the operational inspection of play areas of facilities or a wider level 3 or above health and safety qualification.</li> <li>have achieved the Transcend Level 5 Certificate in Maintaining Safety Standards in the Design, Installation, Inspection and Maintenance of Playgrounds OR equivalent</li> </ul>



Learner adjustments								
	Race Individuals who do not communicate in English to an appropriate standard when registered in England veligible to attend for regulatory purposes. As a result, no adjustments to this barrier can be applied.							
	<b>Disability</b> Individuals with psychological, physiological, or sociological disabilities may find some competencies difficult or dangerous which may compromise their wellbeing. Such cases must be evaluated to determine whether reasonable adjustments can be applied. Adjustments to this barrier will be applied where it is safe to do so.							
	djustments must be submitted to the awarding organisation on a case-by-case basis in line with <i>Transcend Reasonable</i>							
Learner registration & agreement	The recognised centre is required to ensure that every learner is registered within 20 working days of the start date of their programmes. The registration period for each qualification in the suite is 3 years. Learners must sign an agreement to attend all aspects of the training and assessment programme in line with the recognised centre's delivery model and the registration period for each qualification in this suite.							
Learner attendance & achievement records  The recognised centre is required to track dates, activities, and workforce for initial assessment, learner agreements, attendance and achievements including all assessment and reassessment opportunities within the learner registration recognised centre is required to ensure that the product coordinator takes steps to ensure that the start date, venue, are on the booking forms are accurate. The product coordinator must also ensure that attendance and achievement records portfolios, LARs, quality assurance reports and records are fully completed and accurate. Internal controls must be administrated making a claim for certification for each learner.								



## **Qualification Delivery Conditions**

The recognised centre is required to supplement RC5.3 of the **Transcend Recognised Centre Conditions** with the following rules.

#### Qualification delivery models

The recognised centre is required to document their delivery models for each qualifcaion in the suite. All delivery models must be supported by a training and assessment programme which is mapped to the relevant qualification and unit specifications.

The delivery models must comply with each qualification's TQT, GLH, learning outcomes, assessment criteria, delivery content, assessment attainment indicators, and assessment strategies.

These must be agreed in writing by the awarding organisation. Recognised centres must document the programme details for each cohort and communicate this to each learner prior to delivery.

Learner attendance and attainments must all be tracked in line with the programme activities. A high-level delivery model for each qualification in the suite is provided over the next 3 pages. This can be adopted and adapted to provide the emphasis for the details training and assessment programmes.



## Delivery Model: Transcend Level 5 Diploma in Maintaining Safety Standards in the DIIM of Playgrounds and Activity Facilities

The recognised centre is required to ensure that all training, assessment, and internal quality assurance activities for each learner is completed within a 4-year registration period for this qualification.

	Phase 01	Phase 02	Phase 03	Phase 04	Phase 05
Induction webinar	Programme	Portfolio [PG]	Programme	Portfolio [AF]	PD
	U01: PG+AF Safety law, standards + value U02: PG+AF Safety standards: DIIM General and in PG context	Evidence collection	U01: PG+AF Safety law, standards + value U02: PG+AF Safety standards: DIIM  General and in AF context	Evidence collection	PPT and Professional discussion to authenticate portfolio outcomes:
	U03: PG Safety standards: swings U04: PG Safety standards: slides U05: PG Safety standards: rockers U06: PG Safety standards: runways U07: PG Safety standards: carousels U08: PG Safety standards: spatial networks	Evidence collection	U09: AF Safety standards: skate U10: AF Safety standards: parkour U11: PG Safety standards: outdoor adult fitness U12: PG Safety standards: free access multi sports	Evidence collection	1 GLH PG 1 GLH: AF
2 GLH	38 GLH 50 DSH	10 GLH 85 DSH	38 GLH 50 DSH	10 GLH 85 DSH	2 GLH
		Total GLH: 1	00 Total DSH: 270 TQT: 370		



## Delivery Model: Transcend Level 5 Certificate in Maintaining Safety Standards in the DIIM of Playgrounds

The recognised centre is required to ensure that all training, assessment, and internal quality assurance activities for each learner is completed within a 3-year registration period for this qualification.

	Phase 01		Phase 02		Phase 03
	Programme		Portfolio		Professional Discussion
webinar	PG+AF Safety law, standards + value PG+AF Safety standards: DIIM		Evidence collection		PPT and Professional discussion to authenticate portfolio outcome
Induction webinar	PG Safety standards: swing PG Safety standards: slide PG Safety standards: rocke PG Safety standards: runw PG Safety standards: caron PG Safety standards: spati	s ers vays usels	Evidence collection		
1 GLH	38 GLH	50 DSH	30 GLH	130 DSH	1 GLH
		Total G	LH: 70 Total DSH: 180 TQT	: 250	



## Delivery Model: Transcend Level 5 Certificate in Maintaining Safety Standards in the DIIM of Playgrounds

The recognised centre is required to ensure that all training, assessment, and internal quality assurance activities for each learner is completed within a 3-year registration period for this qualification.

	Phase 01		Phase 02		Phase 03	
	Programme		Portfolio		Professional Discussion	
ı webinar	PG+AF Safety law, standards + value PG+AF Safety standards: DIIM		Evidence collection		PPT and Professional discussion to authenticate portfolio outcome	
Induction webinar	AF Safety standards: skate AF Safety standards: parko AF Safety standards: outdo AF Safety standards: free a	ur oor adult fitness	Evidence collection			
1 GLH	38 GLH	50 DSH	30 GLH	130 DSH	1 GLH	
		Total G	LH: 70 Total DSH: 180 TQT:	250		



## Qualification Suite Assessment Conditions

The recognised centre is required to supplement RC5.4 of the **Transcend Recognised Centre Conditions** with the following rules. The assessment framework for this qualification is externally set by Transcend as the awarding organisation and marked internally by a qualified assessor within the recognised centre.

Assessment strategy	The recognised centre must ensure the following assessment strategy is complied with. The assessment methods are portfolio of evidence, presentation, and professional discussion. The assessment strategy at each level is the same. demand within the assessment criteria, assessment tasks and attainment indicators which differentiates achievements							
	Strategies	Strategies						
	PG Portfolio	The learner must create a profile of two different playgrounds. To do this they must use the text of the standards, diagrams, images, and information gained from suppliers, manufacturers, inspections, and owners. The two common units and all playground specific unit assessment criteria must be met.	Transcend Certificate in Safety Stand DIIM of Pla	Transcend Level in the DIIM				
	PG PPT + PD	The learner must deliver a presentation on the level of compliance with the safety standards for each playground. They will participate in a professional discussion to enable them to have a final opportunity to meet all criteria and have their portfolio authenticated	ate in Maintaining Standards in the of Playgrounds	5 Diploma of Playgro				
	AF Portfolio	The learner must create a profile of one of each of the four activity facilities. To do this they must use the text of the standards, diagrams, images, and information gained from suppliers, manufacturers, inspections, and owners. The two common units and all activity facility specific unit assessment criteria must be met.	Transcend Level 5 in Maintaining Standards in the Activity Facil	Maintaining ds and Activi				
	AF PPT + PD	The learner must deliver a presentation on the level of compliance with the safety standards for each activity facility. They will participate in a professional discussion to enable them to have a final opportunity to meet all criteria and have their portfolio authenticated.	evel 5 Certificate aining Safety in the DIIM of y Facilities	Safety Standards ity Facilities				



Assessment tasks	The recognised centre must ensure the learners complete the assessment tasks contained in the Learner Assessment Portfolio [LAP] provided by the awarding organisation. These are designed specifically to cover all assessment criteria and ensure standardisation of assessment. In the rare event that a centre identifies the need to utilise alternative tools, these must be submitted to the awarding organisation with detailed mapping to the unit specification. This will be checked for accuracy and comparability to ensure the level of demand in each task is comparable before approval.
Assessment environment	The recognised centre is required to ensure the assessment tasks are completed in a safe education environment.
Assessment authentication	The recognised centre is required to ensure that assessment tasks are completed by the learner without support from others. All assessment must be their own work. Assessors can authenticate the assessment during face-to-face assessment.
Assessment marking	The recognised centre is required to ensure that the assessments are marked by a qualified assessor in accordance with the assessment guidance which is contained in the success criteria in the learner assessment portfolios. It must also be marked in line with the fair assessment and VARCS rules of evidence. This means that the assessment must be valid, authentic, relevant, current, and sufficient. If learners are dissatisfied with the decisions in light of these rules' learners should follow their recognised centre's appeals process.
Assessment attainment	The recognised centre is required to ensure that the qualifications are all graded on a PASS/FAIL basis. Assessment criteria and attainment indicators are confirmed in the unit specifications. Success criteria is also available to support standardisation. These are made available to assessors and learners to support valid and accurate assessment across assessors, recognised centres and over time. Learners must produce evidence against each task and must PASS each task to be awarded the qualification. This must be marked in line with the success criteria to enable learners to successfully achieve the qualification.
Assessment re- takes	The recognised centre is required to ensure learners are granted three assessment opportunities for each component of assessment. They may be charged for reassessment at their recognised centre's discretion. All assessment and reassessment must be completed within the 2-year period of registration. If learners have not been confirmed as competent by this time, they will not be awarded their qualification.



#### Assessment remarks

The recognised centre is required to enforce re-marks at the request of the internal or external quality moderators or in the event of a learner appeal. Where this is associated with a written piece of work the recognised centre is required to arrange a remark by an alternative assessor. Where the two outcomes are different, we would expect that a third re-mark is completed. Where the recognised centre does not have this many qualified assessors, they are required to request support via the Transcend Engagement Team.

Where the appeal is associated with the outcomes of the practical demonstration or professional discussion the assessment records should be reviewed. It is then down to the discretion of the recognised centre to investigate and determine whether the assessment decision stands or whether the assessment decision should be overturned. Where internal moderation has been completed on that assessment the decision should ideally stand unless evidence suggests otherwise. Only if learners have exhausted the recognised centre's appeals process should they bring the matter to the awarding organisation where the appeal will be managed in accordance with the *Transcend Appeals Policy*.



## Qualification Quality Assurance Conditions

### **The Diploma**

The recognised centre is required to supplement RC5.5 of the **Transcend Recognised Centre Conditions** with the following rules noting that the level 5 diploma has been assigned a **high-risk** profile based upon the following key risk indicators. The areas in green have been selected for this qualification based upon the information contained in this specification.

Risk indicator	Low	Medium	High
Qualification purpose	Personal development	Professional development	Professional practice
Qualification size	Award	Certificate	Diploma
Qualification level	EL3 – L2	L3-L4	L5-8
Qualification assessment method	Portfolio, Practical, PD and Presentation	Portfolio and Practical or PD or Presentation	Portfolio only
Qualification assessment manageability	Real time assessment not required	Real time assessment on course/programme	Real time assessments in workplace
Qualification assessment authentication	Assessor present	Assessor part present	Assessor not present
Qualification impact	Personal impact	Professional impact collaboratively	Professional impact autonomously
Risk Profile		HIGH RISK	



#### **The Certificates**

The recognised centre is required to supplement RC5.5 of the **Transcend Recognised Centre Conditions** with the following rules noting that both level 5 certificate have been assigned a **high-risk** profile based upon the following key risk indicators. The areas in green have been selected for these qualifications based upon the information contained in this specification.

Risk indicator	Low	Medium	High
Qualification purpose	Personal development	Professional development	Professional practice
Qualification size	Award	Certificate	Diploma
Qualification level	EL3 – L2	L3-L4	L5-8
Qualification assessment method	Portfolio, Practical, PD and Presentation	Portfolio and Practical or PD or Presentation	Portfolio only
Qualification assessment manageability	Real time assessment not required	Real time assessment on course/programme	Real time assessments in workplace
Qualification assessment authentication	Assessor present	Assessor part present	Assessor not present
Qualification impact	Personal impact	Professional impact collaboratively	Professional impact autonomously
Risk Profile		HIGH RISK	



# Internal quality assurance

The recognised centre is required to have an internal quality assurance strategy in place, which must consider:

Internal scrutiny of qualification sites [safety checks]
Internal scrutiny of qualification workforce [CPD checks]
Internal scrutiny of qualification coordination [data³ checks]
Internal scrutiny of qualification delivery [teaching observations]
Internal scrutiny of qualification assessment [risk-based moderation across all assessment tasks]
Internal scrutiny of qualification assessment [standardisation activities across all assessment tasks]

## Internal moderation

The recognised centre is required to conduct risk-based internal moderation activities. Internal moderation is defined as a form of **Centre Assessment Standards Scrutiny** for assessment, which is marked by the centre to ensure that standards have been maintained and to adjust results where they have not. The recognised centre is required to ensure that Internal moderation takes place on each cohort before results are reported to the awarding organisation and requests for certification are made. Sampling strategies must consider the following key risk indicators:

- the risk profile for this qualification which is **HIGH**
- the experience of the assessors in their role as an assessor
- the experience of the assessors in the delivery of this qualification
- the assessment samples to include portfolios for every learner cohort
- the assessment samples to include observations across presentation and professional discussion assessments periodically
- the number of learners registered and whether these attend a course or rolling programme.

The recognised centre should identify a percentage of learners to be sampled per assessor per course OR a percentage of learners to be sampled per assessor on rolling programmes within a set period. The set period should be selected based upon the number of learners due for certification.

<sup>&</sup>lt;sup>3</sup> Data checks must as a minimum consider timely and accurate coordination of the course/programmes and must refer to the delivery venue, fair recruitment, initial assessment, access arrangements, learner agreements, allocation of workforce, attendance, retention, achievements across the assessment and reassessments for each task aligned to the assessment strategy, internal moderation dates, results reporting and certification requests. Data must be captured and subject to internal control for every learner prior to requests for certification being made.



# External quality assurance

The recognised centre is required to cooperate with the external quality assurance activities conducted by the awarding organisation workforce. The external quality assurance strategy determines the nature, frequency and sampling sizes as shown:

Scrutiny of the qualification workforce competence checks on 100% bookings

Scrutiny of the qualification delivery venue and facility safety via risk-based external **monitoring** activities.

Scrutiny of the qualification coordination timings and accuracy via risk-based external **monitoring** activities.

Scrutiny of the qualification delivery to prepare learners for assessment via risk-based external **monitoring** activities.

Scrutiny of the qualification assessment standards via risk-based external **moderation** interventions.

Scrutiny of the qualification internal quality assurance via risk-based external **moderation** interventions.

The **external monitoring** activities take place during the external **moderation** interventions. This enables us to evaluate compliance across the breadth of the centre recognition and supplementary qualification approval conditions at the same time as scrutinizing the assessment standards. This enables us to maximise the application of our resources.

Our **external quality assurance reports** may be communicated per moderation intervention in a matrix via email or online via the web based Transcend Awarding Management System [TAMS]. This is dependent on the number of learners to be certificated and the level of compliance in the delivery of the qualifications. We will collaborate with each centre to determine this. The rationale is to ensure efficiency in our reporting and certifications. Where a matrix approach is adopted, these will be collated periodically and uploaded into TAMS for audit purposes.



External moderation	The recognised centre is required to cooperate with the awarding organisation's risk-based external moderation interventions. External moderation is defined as a form of <b>Centre Assessment Standards Scrutiny</b> for assessment, which is marked by the centre to ensure that standards have been maintained and to adjust results where they have not. The moderation strategies confirmed here are associated with the assessor's experience in delivering this qualification, the <b>high-risk</b> profile assigned to this qualification and the recognised centre's qualification approval risk profile for this qualification.  The strategies have been designed to confirm the scrutiny methods [moderation of portfolios and real time assessments], the frequency of these interventions and the sample size [number of learners and assessment tasks].					
Enternal	Strategy 01: I	First assessment per assessor [aligned to the product risk profile which is HIGH]				
External moderation of portfolios	Courses	50% of 30 learners assessment to be moderated across 100% tasks within the LAP <b>per learner</b> for each new assessor				
	Programmes	50% of 30 learners assessment to be sampled across 100% tasks within the LAP <b>per learner</b> for each new assessor				
	Strategy 02a: COURSE MODEL Ongoing assessments per assessor [based on recognised centre product approval risk profiles]					
	Low risk	20% learners' assessment to be moderated across 100% tasks within the LAR <b>across</b> learners for each assessor <b>per</b> booking				
	Medium risk	25% learners' assessment to be moderated across 100% tasks within the LAR <b>across</b> learners for each assessor <b>per</b> booking				
	High risk	30% learners' assessment to be moderated across 100% tasks within the LAR <b>across</b> learners for each assessor <b>per</b> booking				
	Strategy 02b:	PROGRAMME MODEL Ongoing assessments per assessor [based on recognised centre product approval risk profiles]				
	Low risk	20% learners' assessment to be moderated across 100% tasks within the LAR <b>across</b> learners for each assessor <b>across</b> bookings				
	Medium risk	25% learners' assessment to be moderated across 100% tasks within the LAR <b>across</b> learners for each assessor <b>across</b> bookings				
	High risk	30% learners' assessment to be moderated across 100% tasks within the LAR <b>across</b> learners for each assessor <b>across</b> bookings				



External moderation of real time assessments	The moderation strategies for real time assessment are associated with the <b>high-risk</b> profile assigned to this qualification. Real time assessment is defined as assessments where the learner and the assessor are present. In this qualification this refers to:  • Presentation with professional discussion				
	Real time assessment strategy				
	RTA Strategy 01	<b>EQA Presence and moderation</b> annually as a minimum to all centres, per qualification per real time assessment.			
	RTA Strategy 02	<b>EQA Presence and moderation</b> per centre, per qualification per real time assessment where there are more than 08 courses or 200 learners on rolling programmes.			



# **Appendix:** Qualification Specification Version Control

This document is subject to version control. We will request feedback from centres in advance of any change. We will then inform centres of the changes made in advance and will re-publish the specification via our website. All changes will be tracked here and confirmed as an updated version.

Version	Publication date	Publication details
Version 01	01 Jan 2020	Publication 01
Version 02	01 Sep 2021	<b>Publication 02:</b> The specification has been updated into the new format. The quality assurance conditions have been updated to include the <b>Centre Assessment Standards Scrutiny [CASS] Strategy</b> ,
Version 03	01 Nov 2023	<b>Publication 03:</b> The qualifcaion and unit titles updated for validity. The specification amalgamated to provide clarity on professional development and competencies.